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Approved for use through 10/31/2002. OMB 0651-0031

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PTO/SB/21 (08-00)



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|-------------------|
| Application Number | 10/001,891 |
| Filing Date | November 19, 2001 |
| First Named Inventor | Hull, Jonathan J. |
| Group Art Unit | 2173 |
| Examiner Name | To Be Assigned |
| Attorney Docket Number | 015358-007400US |

Total Number of Pages in This Submission

1

ENCLOSURES (check all that apply)

| | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Second Request to Correct Filing Receipt; Second Redlined Corrected Copy of Filing Receipt; Copies of supporting documents: a) Copy of Stamped Postcard for 11/19 filing; b) Copy of Express Mail for 11/19 filing; c) Copy of Transmittal form for 11/19 filing; d) Copy of Stamped Postcard for 11/21 filing; e) Copy of Stamped Registered Mail Certificate for 11/21 filing; f) Copy of Transmittal Form for Statement filed 11/21; g) Copy of Statement Under 37 CFR 1.6(e) filed 11/21, Return Postcard |
| <input type="checkbox"/> Remarks | | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|--------------------------|---|-----------------|
| Firm and Individual name | Townsend and Townsend and Crew LLP Sujit B. Kotwal | Reg. No. 43,336 |
| Signature | S. B. Kotwal | |
| Date | July 1, 2002 | |

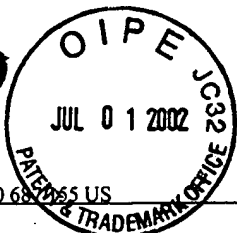
CERTIFICATE OF MAILING

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I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date July 1, 2002 and is addressed to: Office of Initial Patent Examination, Assistant Commissioner for Patents, Washington, D.C. 20231

PA 3232850

Typed or printed name Daniel Miranda Signature Date July 1, 2002



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Date of Deposit July 1, 2002

PATENT
Attorney Docket No.: 015358-007400US
Client Reference No.: ID-RSV-263D

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Office of Initial Patent Examination
Assistant Commissioner for Patents
Washington, D.C. 20231

By: 
Daniel Miranda

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JONATHAN J. HULL et al.

Application No.: 10/001,891

Filed: November 19, 2001

For: PAPER-BASED INTERFACE FOR
MULTIMEDIA INFORMATION STORED
BY MULTIPLE MULTIMEDIA
DOCUMENTS

Examiner: To Be Assigned

Art Unit: 2173

SECOND REQUEST FOR CORRECTED
FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

On January 29, 2002, Applicants filed a first request to correct the filing date printed on the official filing receipt received from the U.S. Patent Office. In response, we received an updated filing receipt. However, upon review of the updated filing receipt, Applicants noted that the error in filing date has not been corrected. Consequently, a second request for corrected filing receipt is being submitted.

Attached is a redlined copy of the Updated Filing Receipt received from the Patent and Trademark Office indicating corrections to be made. Issuance of a corrected filing receipt is respectfully requested.

As indicated on the redlined copy, there are errors in the "Filing Date" and "Title" sections of the Updated Filing Receipt.

"Filing Date" error:

The correct "Filing Date" should be as follows:

November 19, 2001

As noted in our Request for Corrected Filing Receipt filed on January 29, 2002, the filing of the above-identified patent application using an Express Mail envelope (having label "EL 265 818 845 US") was attempted on November 19, 2001. However, the U.S. Postal Service (USPS) (Post Office located at Hamilton street, Palo Alto, CA) refused to accept the application. This refusal by the USPS was due to a memorandum issued by Patrick R. Donahue, Chief Operating Officer and Executive Vice President of the United States Postal Service (USPS), temporarily and immediately suspending Express Mail service to Washington, D.C., ZIP Codes 202xx through 205xx (e.g., 20231). The U.S. Patents and Trademark Office designated this interruption in the Express Mail service of the USPS as a postal service interruption within the meaning of 35 U.S.C. 21(a) and 37 CFR 1.6(e).

Per USPTO guidelines, in order to secure the November 19, 2001 filing date, we "re-filed" the sealed Express Mail envelope (with label "EL 265 818 845 US") containing the application on November 21, 2001 via registered mail (Registered No.: RR877651265US) along with a Statement Under 37 CFR 1.6(e) that the USPS refused to accept Express Mail Correspondence on November 19, 2001. Per USPTO rules, the registered mail filing including the statement and the sealed Express Mail envelope containing the application is sufficient for obtaining a filing date of November 19, 2001.

Copies of the following documents are attached in support of the request to correct the "Filing Date":

- a) Copy of the Postcard filed with the application and enclosed in Express Mail envelope (label "EL 265 818 845 US") showing the attempted "Mail Date" of November 19, 2001. The Postcard has been incorrectly stamped 11/30/01 by the USPTO;
- b) Copy of Express Mail Certificate No. EL 265 818 845 US attached to the sealed Express Mail envelope containing the application, the filing of which was attempted on November 19, 2001;
- c) Copy of Utility Patent Application Transmittal attached to the application enclosed in Express Mail envelope (Label "EL 265 818 845 US"), the filing of which was attempted on November 19, 2001. The Transmittal contains a certification reciting that the application is being deposited with the USPS "Express Mail Post Office to Addressee" services under 37 CFR 1.10 on November 19, 2001;

- d) Copy of the Stamped Postcard dated November 21, 2001 attached to the registered mail filing (Registered No.: RR877651265US) that included the Sealed Express Mail Envelope (Label "EL 265 818 845 US") containing the application;
- e) Copy of the Stamped Registered Mail Certificate No. RR877651265US (date stamped November 21, 2001) attached to the registered mail filing that included the sealed Express Mail envelope containing the application;
- f) Copy of the SB/21 Transmittal Form submitted for the documents filed via registered mail on November 21, 2001. The Transmittal indicates that the envelope contained a patent application, Attorney Docket No. 15358-007400US, the filing of which was attempted on November 19, 2001; and
- g) Copy of the "Statement Under 37 CFR 1.6(e) That USPS Refused to Accept Express Mail Correspondence" filed on November 21, 2001 via registered mail along with the sealed Express Mail envelope containing the application.

Error in "Title":

The correct "Title" should read as follows (with error noted in bold text):

PAPER-BASED INTERFACE FOR MULTIMEDIA INFORMATION STORED BY
MULTIPLE MULTIMEDIA DOCUMENTS

In light of the above, Applicants respectfully request that the Filing Date for the application be changed to November 19, 2001 and the Title be corrected as noted above.

The corrections are not due to any errors by Applicants and no fee is due.

Respectfully submitted,

S. B. Kotwal

Sujit B. Kotwal
Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (650) 326-2400
Fax: (650) 326-2422
SBK/km
PA 3232857 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

015358-007400US, SBK

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FILE FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------------------------------|--------------|----------------|---------------------|----------|------------|------------|
| 10/001,891 | 11/30/2001 11/19/2001 | 2173 | 1350 | 015358- 007400US | 34 | 28 | 7 |

CONFIRMATION NO. 1067

UPDATED FILING RECEIPT



OC000000008083283

 20350
 TOWNSEND AND TOWNSEND AND CREW, LLP
 TWO EMBARCADERO CENTER
 EIGHTH FLOOR
 SAN FRANCISCO, CA 94111-3834

Date Mailed: 05/10/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)
 Jonathan J. Hull, San Carlos, CA;
 Jamey Graham, San Jose, CA;
Domestic Priority data as claimed by applicant**Foreign Applications****If Required, Foreign Filing License Granted 12/28/2001****Projected Publication Date: Request for Non-Publication Acknowledged****Non-Publication Request: Yes****Early Publication Request: No****Title**

multiple

Paper-based interface for multimedia information stored by multimedia documents

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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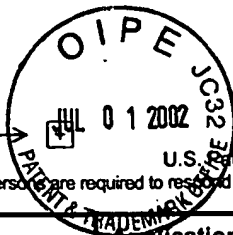
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PTO/SB/21 (08-00)

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| | | | |
|--|----------------------|------------------------|-----------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | To Be Assigned | |
| | Filing Date | November 19, 2001 | |
| | First Named Inventor | Hull, Jonathan J. | |
| | Group Art Unit | To Be Assigned | |
| | Examiner Name | To Be Assigned | |
| Total Number of Pages in This Submission | 1 | Attorney Docket Number | 015358-007400US |

| ENCLOSURES (check all that apply) | | |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 1.6(e); Sealed Express Mail Envelope having Label No. EL265818845US; Return Postcard |
| Remarks | | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |
| | | The Express Mail envelope contains a patent application, Attorney Docket No. 015358-007400US, the filing of which was attempted on November 19, 2001. |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm and Individual name | Townsend and Townsend and Crew LLP Sujit B. Kotwal Reg. No. 43,336 |
| Signature | S. B. Kotwal |
| Date | November 21, 2001 |

| CERTIFICATE OF MAILING | |
|--|-------------------|
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| Typed or printed name | Sujit B. Kotwal |
| Signature | S. B. Kotwal |
| Date | November 21, 2001 |

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PA 3185646 v1



PATENT APPLICATION
FILING ACKNOWLEDGMENT

Mail Date: November 19, 2001 Atty: SBK/km Application Filed: Herewith
Atty Dkt: 015358-007400US Application Serial No.: Unassigned
Certificate of Express Mail No.: EL 265 818 845 US

Inventor(s): JONATHAN J. HULL et al.

Title: PAPER-BASED INTERFACE FOR MULTIMEDIA INFORMATION
STORED BY MULTIPLE MULTIMEDIA DOCUMENTS

No. Pages of Application Transmittal - 1

No. Pages of Title Page - 1

No. Pages of Description - 62

No. Pages of Claims - 8

No. Pages of Combined Declaration & Power of Attorney - 2

No. Pages of Application Data Sheet - 3

No. Pages of Nonpublication Request - 1

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No. Sheets of Drawings - 34

No. Pages of Abstract - 1



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| Time In <input type="checkbox"/> AM <input type="checkbox"/> PM | Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day | Return Receipt Fee | Delivery Date | Time <input type="checkbox"/> AM <input type="checkbox"/> PM | Employee Signature |
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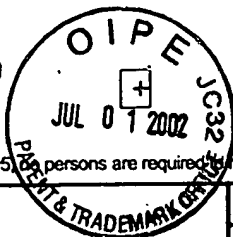
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Label 11-F July 1997

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 015358-007400US

First Inventor Hull, Jonathan J.

Title PAPER-BASED INTERFACE FOR MULTIMEDIA
INFORMATION STORED BY MULTIPLE
MULTIMEDIA DOCUMENTS

Express Mail Label No. EL 265 818 845 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 72)
(preferred arrangement set forth below)
 - Descriptive title of the invention (Title Page)
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113) (Total Sheets 34)
5. Declaration and Power of Attorney (Total Pages 2)
 - a. ☒ Newly unexecuted (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

Do not charge the application fee at this time

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper number of pages
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No:

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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20350

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or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Sujit B. Kotwal

Registration No. (Attorney/Agent)

43,336

Signature

S. B. Kotwal

Date

November 19, 2001

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Date of Deposit: November 19, 2001

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By: S. B. Kotwal

PA 3184621 v1



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- | | | |
|----------|----|---|
| Enclosed | 1) | Transmittal Form SB/21 (1 page) |
| | 2) | Statement Under 37 CFR 1.6(e) That USPS Refused To Accept Express Mail Correspondence (2 pages) |
| | 3) | Sealed Express Mail Envelope No. EL 265 818 845 US Return Receipt Postcard |

DEC 19 2001

Application No.:
Atty. Docket No.:
Date Due:
Date Mailed:
Atty/Secy:

To Be Assigned
015358-007400US
N/A
November 21, 2001
SBK/km



PA 3185664 v1

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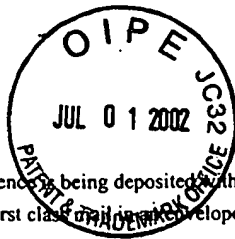


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| | FROM | | |
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| | 379 Lytton Avenue | | |
| Palo Alto, CA 94301 (015358-007400US) | | | |
| TO | | | |
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PATENT
Attorney Docket No.: 015358-007400US
Client Reference No.: ID-RSV-263D

BOX FILING DATE
Assistant Commissioner for Patents
Washington, D.C. 20231

On November 21, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: S. B. Kotwal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JONATHAN J. HULL et al.

Application No.: Unassigned

Attempted Filing Date: November 19, 2001

For: PAPER-BASED INTERFACE FOR
MULTIMEDIA INFORMATION
STORED BY MULTIPLE
MULTIMEDIA DOCUMENTS

Examiner: Unassigned

Art Unit: Unassigned

STATEMENT UNDER 37 CFR § 1.6(e)
THAT USPS REFUSED TO ACCEPT
EXPRESS MAIL CORRESPONDENCE

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Washington, D.C. 20231

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Sir:

My name is Sujit B. Kotwal, registration number 43,336. I am a patent attorney working at Townsend and Townsend and Crew LLP.

This statement is being mailed as registered first class mail along with a sealed Express Mail envelope having label "EL 265 818 845 US" addressed to Box Patent Application, Assistant Commissioner of Patents & Trademarks, Washington, D.C., 20231-0001. This Express Mail envelope contains a patent application titled "Paper-Based Interface For Multimedia Information Stored By Multiple Multimedia

Documents" by Jonathan J. Hull et al. (Attorney Docket Number 015358-007400US).

On November 19, 2001, I arrived at the Hamilton Street, Palo Alto, CA, post office at approximately 4:30 PM with the intention of depositing the enclosed Express Mail envelope (having label "EL 265 818 845 US") with the United States Postal Service under 37 CFR § 1.10.

The postal clerk at the Palo Alto post office refused to accept this Express Mail envelope, because on Friday, November 16, 2001, Patrick R. Donahoe, Chief Operating Officer and Executive Vice President of the United States Postal Service (USPS) issued a memorandum temporarily and immediately suspending Express Mail service to Washington, D.C., ZIP Codes 202xx through 205xx (e.g., 20231). The United States Patent and Trademark Office has designated this interruption in the Express Mail service of the USPS as a postal service interruption within the meaning of 35 USC § 21(a) and 37 CFR § 1.6(e).

I submit that the above identified Express Mail correspondence would have been filed on November 19, 2001, if it were not for the designated interruption or emergency in the United States Postal Service. Accordingly, I request under the provisions of 37 CFR § 1.6(e) that the enclosed application (Attorney Docket Number 015358-007400US) be granted a filing date of November 19, 2001.

If I can be of further assistance, please call me at 650-326-2400.

Respectfully submitted,

S. B. Kotwal

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